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November 10, 2006

Re: Applicant(s): Nielsen, James T.; et. al.
 Assignee: Cardica, Inc.
 Title: Surgical Apparatus and Method for Anastomosis
 Serial No.: 10/750,712
 Examiner: Michael T. Andersen Filed: Dec. 31, 2003
 Docket No.: 149 Group Art Unit: 3734

Transmitted herewith are the following documents in the above-identified application:

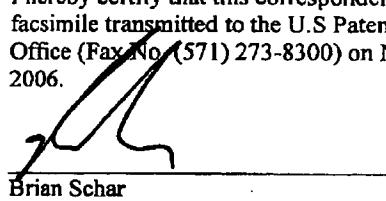
- (1) This Transmittal Letter;
- (2) Information Disclosure Statement; and
- (3) Form PTO-1449.

Please charge \$180.00 to Deposit Account Number 502108 under 37 CFR 1.17(p) for submission of an Information Disclosure Statement after mailing of an Office Action.

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Certificate of Transmission

I hereby certify that this correspondence is being facsimile transmitted to the U.S Patent and Trademark Office (Fax No. (571) 273-8300) on November 10, 2006.



Brian Schar

Respectfully submitted,


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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Nielsen, James T.; et. al.
Assignee: Cardica, Inc.
Title: Surgical Apparatus and Method for Anastomosis
Serial No.: 10/750,712 Filing Date: December 31, 2003
Examiner: Michael T. Andersen Group Art Unit: 3734
Docket No.: 149

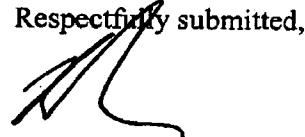
INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the information listed on the accompanying form PTO-1449 is brought to the attention of the Examiner.

Respectfully submitted,



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| Substitute for form 1449A/PTO | | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | |
| (Use as many sheets as necessary) | | | |
| Sheet | 1 | of | 1 |
| Attorney Docket No: 149 | | | |

| US PATENT DOCUMENTS | | | | | |
|---------------------|---------|---------------------|------------------|---|----------------------------|
| Examiner Initial | Cite No | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Filing Date If Appropriate |
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| | | US-6821286 | 11/23/2004 | Carranza, Jose R., et al. | |
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| EXAMINER | /Michael Mendoza/ | DATE CONSIDERED | 03/18/2008 |
|----------|-------------------|-----------------|------------|

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional); 2 Applicant is to place a check mark here if English language Translation is attached